

#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas M. BREUEL et al.

Group Art Unit: 2178

Application No.: 10/064,892

Examiner:

C. PAULA

Filed: August 27, 2002

Docket No.: 111744

For:

METHOD AND SYSTEM FOR DOCUMENT IMAGE LAYOUT

DECONSTRUCTION AND REDISPLAY SYSTEM

### **DECLARATION UNDER 37 C.F.R. §1.131**

I, William C. Janssen Jr., a named inventor in the above-identified application, hereby declare and state:

- 1. This Declaration is submitted as evidence that the subject matter claimed in Claims 1, 3-16 and 18-28 of the above-identified application was conceived by the named inventors and reduced to practice in the United States prior to July 13, 2001, the U.S. filing date of U.S. Patent Application Publication No. 2003/0014445 Al (Formanek et al.).
  - 2. I am a named co-inventor in the above-identified application.
- 3. I have personal knowledge of the function and existence of the computer programs, all dated prior to July 13, 2001, that appear in the directory listing set forth in Exhibit A and in the screenshots depicted in Exhibit C(1), attached to this Declaration.
- In the directory listing of the computer programs identified in Exhibit A and 4. the screenshots depicted in Exhibit C(1), dates and other material that could indicate dates have been masked out, to the extent practicable, as permitted under the U.S. patent rules.
- 5. The invention that was reduced to practice in the computer programs identified in the directory listing in Exhibit A and represented by the screenshots depicted in Exhibit C(1), can be summarized as follows:

A system and method of converting a document in a page-image format into a form suitable for an arbitrarily sized display, the method comprising in sequential order the following steps. A document, in a page image format, is deconstructed by at least one of physical segmentation and logical segmentation into a set of segmented image elements including at least one of blocks, lines, words, characters of text, groups of characters, and groups of non-text characters (see Exhibit B(1) and (2); Exhibit C(2); claims 1, 3 and 4). The deconstructed document is then synthesized into an intermediate data structure that is convertible into a commercially available format (see Exhibit B(2); Exhibit C(1); claim 1). The synthesizing includes integrating at least one of bitmapped images, including words in reading order, in an intelligible display layout and links to non-textual elements (see Exhibits B(1) and (2); Exhibits C(1) and (2); claims 6 and 7). The synthesizing also includes converting non-text image areas, layout properties and segmented image areas, into the intermediate data structure (see Exhibits B(1) and (2); Exhibits C(1) and (2); claim 5). The intermediate data structure is (1) capable of being stored in a storage device; (2) adaptable to at least one of display screen size, page size, resolution, contrast, color and geometry, at the time of display; and (3) adaptability supported by at least one of repagination of text, reflowing of text, logical links of text to associated text and non-textual content (see Exhibits B(1)-(4); Exhibits C(1) and (3); claims 8, 12 and 13). The intermediate data structure is then distilled using commercially available software for redisplay by converting the intermediate data structure into a format usable for an arbitrarily sized display (see Exhibits B(2)-(4); Exhibits C(1) and (3); claim 1). This includes redisplaying the document in human readable format to include (1) at least one of an electronic book format, Internet browsable format and a print format; or (2) a device specific display format (see Exhibits B(3) and (4); Exhibit C(3); claims 9-11). The intermediate data structure is automatically adaptable at the time of

display to constraints of display devices or circumstances of viewing (see Exhibit B(2); Exhibit C(1); claim 1).

- 6. The invention that was reduced to practice in the computer programs identified in the directory listing in Exhibit A was conceived prior to July 13, 2001. This invention is claimed in the above-identified application.
- 7. On August 9, 2006, the inventions represented by the programs listed in Exhibit A were used to produce the intermediate format listed in Exhibit B(2) and thereby show the reduction to practice of a system and method of converting a document in a page-image format into a form suitable for an arbitrarily sized display as described in paragraph 6 herein. Exhibit B(1) illustrates an electronically stored document (1) in a page-image format. Exhibit B(2) illustrates an intermediate data format (2) of the electronically stored file of document (1). Exhibit B(3) illustrates a dynamic reflow of the intermediate data format (2) into a first arbitrarily sized display. Exhibit B(4) illustrates a dynamic reflow of the intermediate data format (2) into a second arbitrarily sized display.
- 8. On or about March 2, 2007, programs listed in Exhibit A and represented in the screenshots depicted in Exhibit C(1), were applied to a document image, represented by Exhibit C(2). The progression of the applications is represented in Exhibit C(1). The first program, *BatchSegmenter*, identifies individual word images and their reading order, and writes out an analysis of the document giving that information. The second program, *chop.py*, reads this analysis, and creates a version of the document in intermediate data format. Exhibit C(3) shows a reflowed page of the intermediate format viewed in an HTML browser.
- 9. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and

the like so made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date:

Attachments:

Exhibit A

Exhibits B(1)-(4)

Exhibits C(1)-(3)

# Exhibit A

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## Exhibit B (1)

# Real-Time Control of a Virtual Human Using Minimal Sensors

Norman I. Badler Michael J. Hollick John P. Granieri

Computer Graphics Research Laboratory
Department of Computer and Information Science
University of Pennsylvania
Philadelphia, Pennsylvania 19104-6389

#### 1 Abstract

We track, in real-time, the position and posture of a human body, using a minimal number of 6 DOF censors to capture full body standing postures. We use 4 censors to create a good approximation of a human operator's position and posture, and map it on to our articulated computer graphics human model. The unsensed joints are positioned by a fact inverse kinematics algorithm. Our goal is to realistically recreate human postures while minimally encumbering the operator.

#### 2 Background

Ideally, a virtual environment interface should be able to measure and recreate a participant's posture exactly. Rather than the traditional "disembodied hand" approach, we would like to generate a complete, realistically postured human imago. However, the equipment needed to accurately track every body segment (or joint angle) of a human in costly and cumbersome. We face several questions: how closely must the virtual human's posture match the operator's, and how much information do we need to achieve this degree of realism?

As in other areas of VR, the degree of realism necessary depends greatly on the tasks we would like to perform [11]. We have concentrated on creating an interface that will allow a human participant to perform basic tasks, using a minimal number of acasors to derive feasible, reasonably accurate postures. Three pieces of information are essential for our posture reconstruction algorithms the participant's visw vector, center of mass, and the location of the endeffectors the participant will use to interact with the

Figure 1: Sensor Placement and Support Polygon

## Exhibit B (2)

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# Exhibit B (3)

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To a little and the Minimal Sensors version	Badler Michael J. Hollick John P. Granieri
eal-Time Control of a Virtual Human Using Minimal Sensors Norman Li	Badler Michael J. Hollick John P. Granieri
cealTime Control of a Virtual fulfillation of Computer and Information Science U consylvania 19104-6389 1 A bstract We track, in real-time, the position and posture of a human operator a papture full body standing postures. We use 4 sensors to create a good approximation of a human operator a papture full body standing postures. We use 4 sensors to create a good approximation of a human operator a papture full body standing postures. We use 4 sensors to create a good approximation of a human operator a particulated computer graphics human model. The unsensed joints are positioned by a f ast inverse kine - maticulated computer graphics human models related to the operators and described hand" approach, we would unman image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track every body segment (or joint angle) of a human image. However, the equip ment needed to accurately track	nody, using a minimal number of 8 DOF sen sors to position and posture, and map it on to our a signifibm. Our goal is to realistically recreate ment interface abould be able to measure and dike to generate a complete, real, latically postured mans us costly and cumbersome. We face several over need to achieve this degree of realism? As in other have concentrated on creating an interface that will nimal number of sen sors to derive feasible.

# Exhibit B (4)

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Getting Stated
Real-Time Control of a Virtual
Human Using Minimal Sensors
Norman I. Badler Michael J. Hollick John P. Granieri Computer Graphics Research
Laboratory Department of Computer and
Information Science University of
Pennsylvania Philadelphia, Pennsylvania
19104-63891AbstractWe track, in real-
time, the position and posture of a human body,
using a minimal number of 6 DOF sen sors to
capture f ull body standing postures. We use 4
sensors to create a good approximation of a
human operators position and posture, and map it
on to our articulated computer graphics human
model. The unsensed joints are positioned by a f
ast inverse kine - matics algorithm. Our goal is to
realistically recreate human postures while
minimally an aumharing the an

# Exhibit C (1)

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# Exhibit C (2)

#### 3.2 Multi-Document Reading

Because document finding is typically separated from document reading, multiple document reading tasks are particularly difficult in the electronic realm. Many applications provide facilities for navigating between multiple documents of the same type, such as tabs in a web browser. However, reading tasks may involve moving between several types of documents such as web pages, word processing documents, presentation slides, etc. This type of task suggests the need for techniques to transfer attention rapidly among documents, regardless of type, in small working sets.

A related problem is being able to view the pages of multiple documents at once. With paper, this is often accomplished by spreading out documents on a table. Reading applications often provide thumbnail facilities for viewing multiple pages from a single document but not from multiple documents. The ability to do so can be important for making comparisons [15] and for getting an overview of a collection of documents [16].

#### 3.3 Finding Documents

Perhaps the most widely accepted method of finding relevant documents is keyword search. This method has a number of limitations in the case of personal digital libraries. Keyword search is fundamentally a recall-based user interface. A classic principle in user interface design is to minimize the memory load on the user [17]. This is often referred to informally as "supporting recognition rather than recall."

A general problem with keyword search is that a user's vocabulary often does not match the desired document's vocabulary. This means that users may not be able to find certain documents or they may have to experiment with several queries before they find what they want. A number of techniques have been introduced to deal with this problem such as term aliasing or personalizations like those in Haystack [18]. Nevertheless, these heuristics are not likely to work in all cases. It is also often the case that the user is not able to formulate a query at all. Some examples include searching for a picture, a specific page layout, or some other visual property of a document. In these cases, the user needs techniques for interacting with documents visually rather than textually.

#### 4 The UC System

The *UC* system developed in our research group integrates a number of recent user interface, information visualization, and digital library techniques with the goal of addressing the problems described in the foregoing. The system is also designed to work well with pen-based tablet computers where traditional user interface controls, such as scrollbars and text boxes, are of limited effectiveness. While the foregoing sections have described the motivation and design rationale for this system, the present section and the next describe the system in detail.

# Exhibit C (3)

3.2 Multi-Document Reading Because document finding is typically separated from document reading, mal-tiple document reading tests are particularly difficult in the electronic reading used for Geluniques to transfer attention rapidly among documents, regardless of type, in small working sets A related problem is being able to view the pages of multiple documents or some other visual property of a document. In these cases, the user needs techniques for interacting with documents visually rather than textually 4 The UC System A classic principle in user interface design is to minimize the memory lond on the user [17]. This is oben referred to informally as "supporting recognition rather than main" involve moving between several types of documents such as web pages, word processing documents, presentation slides, etc. This type of tash suggests the at once. With paper, this is often accomplished by spreading out documents on a table. Reading applications often provide thumband facilities for viewing introduced to deal with this problem such as term aliasing or personalizations like those in Maystack [18]. Nevertheless, these heuristics are not likely to work The UC system developed in our research group integrates a number of recent user interface, information visualization, and digital library techniques with the goal of addressing the problems described in the foregoing. The system is also designed to work well with pen-based tablet computers where traditional user Many applications provide facilities for marigating between unaliple documents of the same type, such as tabs in a web browser. However, reading tasks may be able to find vartain documents or they may have to experiment with several queries before they find what they want. A number of teatmiques have been interface controls, such as scrollbars and text boxes, are of limited effectiveness. While the foregoing sections have described the motivation and design rationale word search. This method has a number of limitations in the case of personal digital libraries. Keyword search is fundamentally a recall-based user interface in all cases. It is also often the case that the user is not able to formulate a query at all. Some examples include searching for a picture, a specific page layout A general problem with keyword search is that a user's vocabulary often does not match the desiral theument's vocabulary. This means that mens may not multiple pages from a single document but not from multiple documents. The ability to do so can be important for making comparisons [15] and for an overview of a collection of documents [16] 3.3 Flucting Documents Perhaps the most widely accepted method of finding relevant documents is key for this system, the present section and the next describe the system in detail



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas M. BREUEL et al.

Group Art Unit: 2178

Application No.: 10/064,892

Examiner:

C. PAULA

Filed: August 27, 2002

Docket No.: 111744

For:

METHOD AND SYSTEM FOR DOCUMENT IMAGE LAYOUT

DECONSTRUCTION AND REDISPLAY SYSTEM

#### **DECLARATION UNDER 37 C.F.R. 81.131**

I, Thomas M. BREUEL, a named inventor in the above-identified application, hereby declare and state:

- This Declaration is submitted as evidence that the subject matter claimed in 1. Claims 1, 3-16 and 18-28 of the above-identified application was conceived by the named inventors and reduced to practice in the United States prior to July 13, 2001, the U.S. filing date of U.S. Patent Application Publication No. 2003/0014445 Al (Formanek et al.).
  - I am a named co-inventor in the above-identified application. 2.
- I have reviewed the attached Declaration of William C. Janssen Jr., another 3. named co-inventor in the above-identified application, and confirm that, based on information and belief, the assertions made in Mr. Janssen's Declaration are true.
- I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity

Application No. 10/064,892

of the application or any patent issuing therefrom.

Date: Am / 2 2007

Thomas M. BREUEL

Attachments:



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas M. BREUEL et al.

Group Art Unit: 2178

Application No.: 10/064,892

Examiner: C. PAULA

Filed: August 27, 2002

Docket No.:111744

METHOD AND SYSTEM FOR DOCUMENT IMAGE LAYOUT DECONSTRUCTION AND REDISPLAY SYSTEM

# **DECLARATION UNDER 37 C.F.R. §1.131**

I, Henry S. BAIRD, a named inventor in the above-identified application, hereby declare and state:

- This Declaration is submitted as evidence that the subject 1. matter claimed in Claims 1, 3-16 and 18-28 of the above-identified application was conceived by the named inventors and reduced to practice in the United States prior to July 13, 2001, the U.S. filing date of U.S. Patent Application Publication No. 2003/0014445 Al (Formanek et al.).
  - I am a named co-inventor in the above-identified application. 2.
- I have reviewed the attached Declaration of William C. 3. Janssen Jr., another named co-Inventor in the above-identified application, and confirm that, based on information and belief, the assertions made in Mr. Janssen's Declaration are true.
- I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements, and the like, so

made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date:

Attachments:



### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas M. BREUEL et al.

Group Art Unit: 2178

Application No.: 10/064,892

Examiner:

C. PAULA

Filed: August 27, 2002

Docket No.: 111744

For:

METHOD AND SYSTEM FOR DOCUMENT IMAGE LAYOUT

DECONSTRUCTION AND REDISPLAY SYSTEM

### DECLARATION UNDER 37 C.F.R. §1.131

I, Ashok C. POPAT, a named inventor in the above-identified application, hereby declare and state:

- This Declaration is submitted as evidence that the subject matter claimed in 1. Claims 1, 3-16 and 18-28 of the above-identified application was conceived by the named inventors and reduced to practice in the United States prior to July 13, 2001, the U.S. filing date of U.S. Patent Application Publication No. 2003/0014445 Al (Formanek et al.).
  - I am a named co-inventor in the above-identified application. 2.
  - I have reviewed the attached Declaration of William C. Janssen Jr., another 3. named co-inventor in the above-identified application, and confirm that, based on information and belief, the assertions made in Mr. Janssen's Declaration are true.
  - I hereby declare that all statements made herein of my own knowledge are 4. true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements, and the like, so made are punishable by fine and/or imprisonment under Section 1001 of Title 18

Application No. 10/064,892

of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date: 3/24/07

Ashok C. POPAT

Attachments:



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Thomas M. BREUEL et al.

Group Art Unit: 2178

Application No.: 10/064,892

Examiner: C. PAULA

Filed: August 27, 2002

Docket No.: 111744

METHOD AND SYSTEM FOR DOCUMENT IMAGE LAYOUT DECONSTRUCTION For: AND REDISPLAY SYSTEM

# **DECLARATION UNDER 37 C.F.R. §1,131**

I, Dan S. BLOOMBERG, a named inventor in the above-identified application, hereby declare and state:

- This Declaration is submitted as evidence that the subject matter claimed in Claims 1, 3-16 and 18-28 of the above-identified application was conceived by the named inventors and reduced to practice in the United States prior to July 13, 2001, the U.S. filing date of U.S. Patent Application Publication No. 2003/0014445 Al (Formanek et al.).
  - I am a named co-inventor in the above-identified application.
- I have reviewed the attached Declaration of William C. Janssen Jr., another named co-inventor in the above-identified application, and confirm that, based on information and belief, the assertions made in Mr. Janssen's Declaration are true.
- I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements, and the like, so made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Application No. 10/064,892

Date: April 11, 2007

Dan S. BLOOMBERG

Attachments: